

*Lecture 2**Relevant sections in text: §1.2***Quantum theory of spin 1/2**

We now try to give a quantum mechanical description of electron spin which matches the experimental facts described previously.

Let us begin by stating very briefly the rules of quantum mechanics. We shall show what they mean as we go along. But it is best to know the big picture at the outset.

*Rule 1*

Observables are represented by self-adjoint operators on a (complex) Hilbert space  $\mathcal{H}$ .

*Rule 2*

States are represented by unit vectors in  $\mathcal{H}$ . The expectation value  $\langle A \rangle$  of the observable  $A$  in the state  $|\psi\rangle$  is given by the diagonal matrix element

$$\langle A \rangle = \langle \psi | A | \psi \rangle.$$

*Rule 3*

Time evolution is a continuous unitary transformation on  $\mathcal{H}$ .

We will now use Rules 1-2 to create a model of a spin 1/2 particle. We will not need Rule 3 for a while (until Chapter 2). We suppose that a spin 1/2 system is completely described by its spin observable  $\mathbf{S}$ , which defines a vector in 3-d Euclidean space. As such,  $\mathbf{S}$  is really a collection of 3 observables, which we label as usual by  $S_x, S_y, S_z$ , each of which is to be a (self-adjoint) linear operator on a (Hilbert) vector space. We have seen that the possible outcomes of a measurement of any component of  $\mathbf{S}$  is  $\pm\hbar/2$ . As we will see, because the set of possible outcomes of a measurement of one these observables has two values, we should build our Hilbert space of state vectors to be two-dimensional. A two dimensional Hilbert space\* is a complex vector space with a Hermitian scalar product. Let us explain what all this means.

I won't bother with the formal definition of a vector space since you have no doubt seen it before. You might want to review it. We denote the elements of the vector space

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\* A Hilbert space is a vector space with scalar product and a certain completeness requirement. As it happens, this completeness requirement is redundant for finite dimensional vector spaces, so we don't need to worry with it just yet.

by the symbols  $|\alpha\rangle$ ,  $|\beta\rangle$ , *etc.* . Of course, they can be “added” to make new vectors, which we denote by

$$|\alpha\rangle + |\beta\rangle = |\gamma\rangle.$$

We denote the set of scalars (complex numbers) by the symbols  $a$ ,  $b$ ,  $c$ , *etc.* Scalar multiplication of a vector  $|\psi\rangle$  by  $c$  is another vector and is denoted by

$$c|\psi\rangle \equiv |\psi\rangle c.$$

We denote the Hermitian scalar product of two vectors  $|\alpha\rangle$  and  $|\beta\rangle$  by the notation

$$\langle\alpha|\beta\rangle = \langle\beta|\alpha\rangle^*.$$

The scalar product also satisfies

$$\langle\alpha|(a|\beta\rangle) \equiv \langle\alpha|a|\beta\rangle = a\langle\alpha|\beta\rangle.$$

If all this leaves you feeling a bit dazed, then your linear algebra background probably needs strengthening. A quick fix is to study some simple examples to give meaning to the symbols, which we shall do now.

### Vector spaces – elementary examples

Here are a couple of simple examples of the foregoing material.

First, consider the set of position vectors in ordinary space. They form a *real* vector space with addition being defined component-wise or via the parallelogram rule. Scalar multiplication is defined component-wise or by scaling of the length of the vector (and reversing its direction if the scalar is negative). The scalar product of two vectors is just the familiar dot product. Since this is a real vector space, the complex conjugation business is trivial.

Second, and much more importantly for our present purposes, consider the *complex* vector space  $\mathbf{C}^2$ , the set of 2-tuples of complex numbers. Elements of this vector space can be defined by column vectors with complex entries, *e.g.*,

$$|\psi\rangle \iff \begin{pmatrix} a \\ b \end{pmatrix}.$$

Addition is defined component-wise in the familiar way. Scalar multiplication is also defined component-wise, by multiplication of each element of the column, *e.g.*,

$$c|\psi\rangle \iff \begin{pmatrix} ca \\ cb \end{pmatrix}.$$

The scalar product of two vectors,

$$|\psi_1\rangle \iff \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}, \quad |\psi_2\rangle \iff \begin{pmatrix} a_2 \\ b_2 \end{pmatrix},$$

is given by

$$\langle\psi_1|\psi_2\rangle = \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}^\dagger \begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = (a_1^* \quad a_2^*) \begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = a_1^* a_2 + b_1^* b_2.$$

In each of these two examples you should verify the various abstract properties listed above. Pay particular attention to the scalar product.

Note that we have refrained from literally identifying the vectors with the column vectors. The reason for this is that we will be adopting the point of view that a column vector is really defined as the components of a vector in a given basis. One can change the basis and thereby change the column vector which corresponds to one and the same vector. For now, you can safely ignore this subtlety and just think of the notation  $|\psi\rangle$ , *etc.* as a fancy way to manipulate column and row vectors. Later we will tighten up the interpretation of the notation.

As it happens, every complex 2-dimensional vector space can be expressed in the above form (column vectors, *etc.*). So the vector space used to model the spin 1/2 system has this mathematical representation. We shall make use of this extensively in what follows.

## Bras and Kets

We have already used the notation  $|\alpha\rangle$  for the elements of a vector space. Of course, we can call these things “vectors”, but it is also customary – following Dirac – to call them “kets”, which we shall explain in a moment. Associated to every ket in a Hilbert space there is another kind of vector which lives in a different “dual” vector space to  $\mathcal{H}$ . We call this dual vector space  $\mathcal{H}^*$  and the elements of this space are called “dual vectors” or “bras” (!). If you think of kets as column vectors, then the bras are row vectors and the correspondence between a ket and bra is that induced by the Hermitian adjoint  $\dagger$  (complex-conjugate-transpose). More generally, every vector  $|\psi\rangle$  determines a *linear* function  $F_\psi$  on  $\mathcal{H}$ , which is defined using the scalar product by

$$F_\psi(|\alpha\rangle) = \langle\psi|\alpha\rangle. \tag{1}$$

The set of all linear functions on a vector space is itself a vector space – the dual vector space. For a Hilbert space the dual vector space can be identified with the original vector space in the manner indicated above. Instead of  $F_\psi$ , we use the notation  $\langle\psi|$  for the linear

function defined by (1). Thus a ket  $|\psi\rangle$  defines a bra  $\langle\psi|$  which is a linear function on  $\mathcal{H}$  via

$$|\alpha\rangle \rightarrow \langle\psi|\alpha\rangle.$$

Sometimes we write

$$\langle\psi| = (|\psi\rangle)^\dagger,$$

which makes good sense if you are thinking in terms of column and row vectors. Note that because the bras form a complex vector space they can be added and scalar multiplied as usual. We use the obvious notation:

$$\langle\alpha| + \langle\beta| = \langle\gamma|, \quad c(\langle\alpha|) = c\langle\alpha| = \langle\alpha|c,$$

and so forth. Note in particular, though, that the bra corresponding to the ket  $c|\psi\rangle$  involves a complex conjugation:

$$(c|\psi\rangle)^\dagger = \langle\psi|c^*.$$

To see this we consider the linear function defined by  $|\gamma\rangle = c|\psi\rangle$ . Evaluated on some vector  $|\alpha\rangle$  we get

$$\langle\gamma|\alpha\rangle = \langle\alpha|\gamma\rangle^* = (\langle\alpha|c|\psi\rangle)^* = c^*\langle\alpha|\psi\rangle^* = c^*\langle\psi|\alpha\rangle.$$

The origin of the terminology “bra” and “ket” comes from the pairing between vectors and linear functions via the scalar product  $\langle\psi|\alpha\rangle$ , which uses a *bracket* notation. Get it? This terminology was introduced by Dirac, and the notation we are using is called “Dirac’s bra-ket notation”.